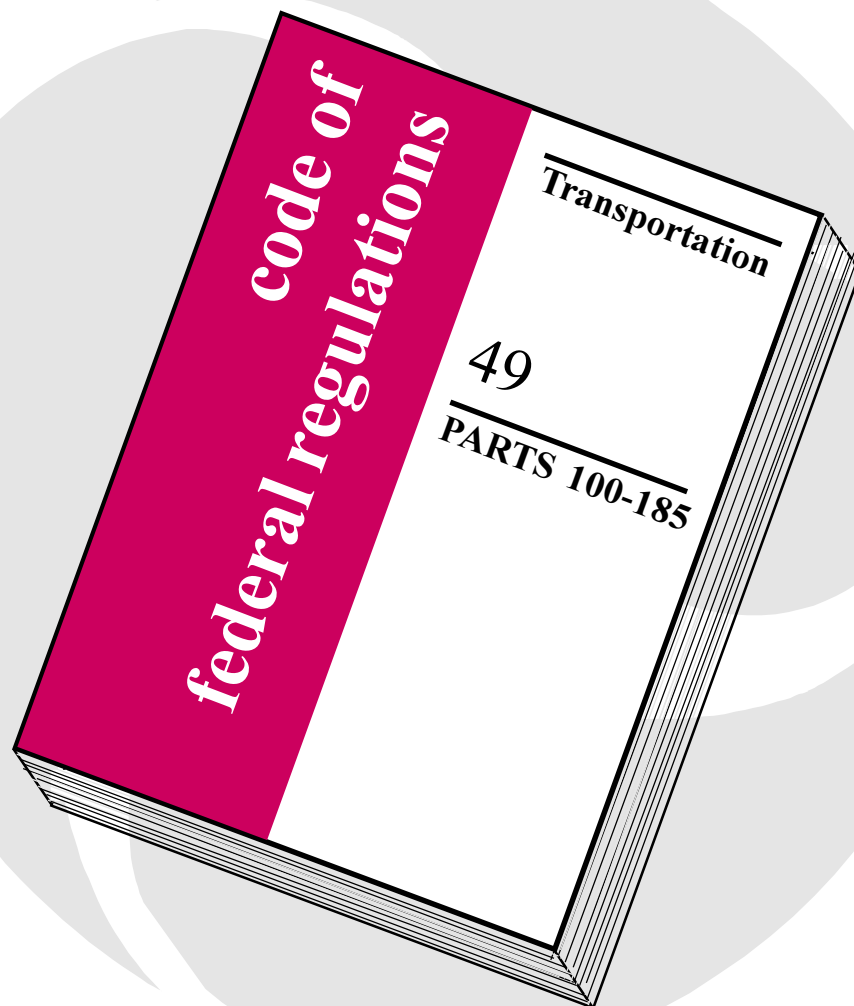


How To Use

THE HAZARDOUS MATERIALS REGULATIONS



1997/1998

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Note: This publication was prepared as a training aid in the proper use of the Hazardous Materials Regulations (HMR), and should not be used to determine compliance with the HMR. Reproduction and distribution is permitted without further permission from the USDOT.

FOREWORD

The Hazardous Materials Regulations (HMR) are issued by the U.S. Department of Transportation (USDOT), and govern the transportation of hazardous materials in commerce.

The primary goal of the HMR is the safety of the public and those whose occupation involves transportation of, or contact with, hazardous materials. To minimize risks, the USDOT has issued specific requirements for shipments of hazardous materials in transportation. The HMR are divided into three general areas:

- **hazardous materials identification and hazard communication;**
[Shipping papers, markings, labels, and placards are used to communicate hazards of the materials to emergency responders, as well as, to those who handle hazardous materials routinely];
- **packaging requirements;** and
- **operational rules.**

This booklet is designed to give you a basic understanding of the HMR required for compliance with and enforcement of the regulations. The first step is learning to locate specific parts, subparts and references within the HMR. This basic knowledge and skill should be acquired before beginning training in any aspect of the regulations.

If you are not sure if the HMR apply to you and/or your business or occupation, complete the checklist on page 15. Check your answers with those found on page 16.

After completing this booklet, it is suggested that you obtain additional in-depth training. Suggested sources of materials and training sources are listed on page 17.

FOREWORD

Information

The U.S. Department of Transportation (USDOT) issues the “Transportation” regulations in Title 49 - Transportation, Code of Federal Regulations (49 CFR). There are seven volumes of transportation regulations. The Hazardous Materials Regulations (HMR) are in Parts 100-185 and govern the transportation of hazardous materials in all modes of transportation - air, highway, rail and water.

The Code of Federal Regulations (CFR) has the force of the law. The regulations are issued by Federal agencies to carry out the responsibilities imposed on those agencies by Congress.

Objectives

Upon completion of this workbook you should be able to:

- locate parts, subparts, sections, and references within the HMR;
- locate and tab each aspect of the HMR; and
- use the HMR to locate specific information.

Materials Checklist

To complete this workbook, you need:

- a current copy of the HMR, (49 CFR, Parts 100 to 185);
- paper and pen or pencil for notes and exercises;
- approximately 40 gummed tabs; and
- a straight edge marker or ruler.

Recommendations

The Regulations should be treated like a technical or reference book. Read certain areas carefully; reference others as you would reference a manual or handbook. For easy reference, it is recommended that you tab your copy of 49 CFR, Parts 100 to 185. A recommended tabbing guide is on pages 6-7 of this publication.

STRUCTURE OF CODE OF FEDERAL REGULATIONS

Basic Outline

The Code of Federal Regulations follows the same basic outline used for most written material. Headings follow the descending order shown below:

Title:	Title 49 - Transportation
Subtitle:	Subtitle B - Other Regulations Relating To Transportation
Chapter:	Chapter I - Research and Special Programs Administration, Department of Transportation
Subchapter:	Subchapter C - Hazardous Materials Regulations
Part:	Part 172 - Hazardous materials table, special provisions, hazardous materials communication, emergency response information, and training requirements
Subpart*	Subpart D - Marking
Section*	172.201
Paragraph*	172.201(a)
Subparagraph*	172.201(a)(1)
Sub-subparagraph*	172.201(a)(1)(i)

*Listed under Parts, as needed, in descending order.

Turn to page 1 in 49 CFR, Parts 100-185. Notice the CFR title at the top of the page:

“Title 49 — Transportation”

The Parts contained in this volume are noted in parentheses: (This book contains parts 100 to 185.) The subtitle and chapter are also listed:

“Subtitle B — Other Regulations Relating to Transportation”	Part
Chapter I — Research and Special Programs Administration, Department of Transportation	106

Now locate the Chapter I index:

“Chapter I — Research and Special Programs Administration, Department of Transportation”

Notice that Subchapter C contains the Hazardous Materials Regulations (HMR).

Pyramid Diagram

The pyramid diagram below illustrates the HMR format just discussed and the location of the HMR in 49 CFR.

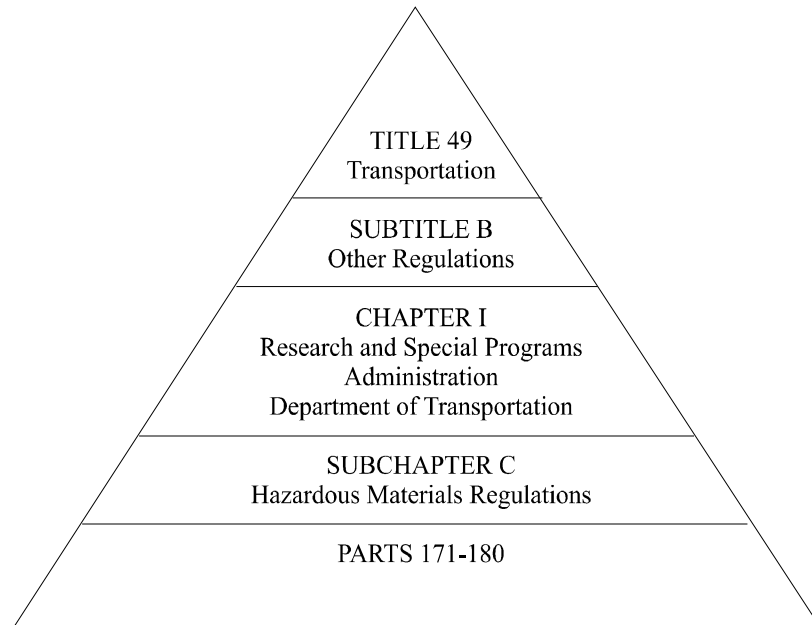
TITLE

SUBTITLE

CHAPTER

SUBCHAPTER

PARTS



“Rules of Construction” **49 CFR, Subchapter C, § 171.9**

Unless specifically stated otherwise:

- singular words include the plural;
- plural words include the singular;
- masculine words include the feminine;
- “must” means **required**;
- “shall” means **required**;
- “should” means **recommended, but not required**;
- “may” means **permitted, but not required**;
- “includes” means **includes, but not limited to**; and
- “no person may” means **no person is required, permitted, or authorized to ***.**

EXERCISE 1: PARTS

In 49 CFR, Parts 100-185, locate the Chapter I index and read the headings under Subchapters A, B and C.

Fill in the blanks designating the Part where each subject is addressed in the HMR.

Part (Number)	Heading
_____	Carriage by aircraft
_____	Carriage by rail
_____	Carriage by vessel
_____	Carriage by public highway
_____	General information, regulations and definitions
_____	The hazardous materials table, special provisions, hazardous materials communications, emergency response information, and training requirements
_____	Shippers — general requirements for shipments and packagings
_____	Specifications for packagings
_____	Specifications for tank cars
_____	Continuing qualifications and maintenance of packagings

EXERCISE 2: LOCATING REFERENCE NUMBERS

CFR Reference Numbers. **Bold** numbers referring to sections in the HMR are at the top outside corners of each page following the Chapter I index. These reference numbers have the same location and purpose as dictionary locator words at the top of each page in a dictionary. That is, the number on the top left is the CFR reference number that begins that page. The number on the top right of the facing page is the reference number for the last CFR reference number on that page.

It is important to use these reference numbers rather than page numbers to locate HMR information. The page numbers in 49 CFR are always changing because of revisions, additions, deletions, and the annual reprinting of the Code of Federal Regulations.

Turn to Subchapter C at the beginning of the HMR.

“Subchapter C — Hazardous Materials Regulations” begins with “Part 171 — General Information, Regulations, and Definitions.”

Use the reference numbers at the top of the HMR. Notice that Part 171 begins with an index. The index lists headings within Part 171 by section numbers, not page numbers.

Scan the sections of Part 171. Notice the Part and Section numbers in **bold** at the top of each page; these numbers refer to the section that begins or ends the page.

Research and Special Programs Administration, DOT

§ 171.2

SUBCHAPTER C - HAZARDOUS MATERIALS REGULATIONS

PART 171 - GENERAL INFORMATION, REGULATIONS, AND DEFINITIONS

Sec.

- 171.1 Purpose and scope.
- 171.2 General requirements.
- 171.3 Hazardous Waste.
- 171.4 Marine pollutants.
- 171.6 Control numbers under the Paperwork Reduction Act.
- 171.7 Reference Material.
- 171.8 Definitions and Abbreviations.
- 171.9 Rules of construction.
- 171.10 Units of measure.
- 171.11 Use of ICAO Technical Instructions.
- 171.12 Import and export shipments.
- 171.12a Canadian shipments and packagings.

(b) Any person who, under contract with any department, agency, or instrumentality of the executive, legislative, or judicial branch of the Federal Governments, transports, or causes to be transported or shipped, a hazardous material or manufactures, fabricates, marks, maintains, reconditions, repairs, or tests a package or container which is represented, marked, certified, or sold by such person as qualified for use in the transportation of a hazardous material shall be subject to and comply with all provisions of the Federal hazardous materials transportation law, all orders and regulations issued thereunder, and all other substantive and procedural requirements of Federal, State and local governments and Indian tribes (except any such requirements that have been preempted by the Federal

EXERCISE 3: SUGGESTED TABBING OF THE HMR

Subject	Reference	Suggested Tab
General		
Exemptions, Preemptions, Registration	Part 107	107
Definitions/Abbreviations	171.8	DEF
Hazardous Materials Table	172.101	HMT
Appendix A (Hazardous Substances)	Appendix A	AP A
Appendix B (Marine Pollutants)	Appendix B	AP B
Special Provisions	172.102	SP PR
Shipping Papers	172.200	SHP PAP
Certification	172.204	CERT
Hazardous Waste Manifest	172.205	MANFST
Marking	172.300	MRK
Labeling	172.400	LBL
Placarding	172.500	PLAC
Emergency Response Information	172.600	ERI
Training	172.700	TRNG
Classes & Definitions	173.2	CLASS
Precedence Table	173.2a	PRE TBL
Waste Packaging Exception	173.12	LAB PK
Packaging-General Requirements	173.24	GEN PKG
Packaging-Add'l Req.-Non-Bulk	173.24a	NB
Packaging-Add'l Req.-Bulk	173.24b	BULK
Packaging-Reuse	173.28	PKG REUSE
Poison (Toxic) Inhalation Hazard	173.132	PIH
Specific Packaging		
Packaging-Non-Bulk	Subpart E	NB
Packaging Bulk	Subpart F	BULK
Hazard Class Definitions/Divisions/Packing Groups		
Class 1 (Explosives)	173.50	CL 1
Class 2 (Gases)	173.115/116	CL 2
Class 3 (Flammable/Combustible Liquids)	173.120/121	CL 3
Class 4 (Flammable Solid, Spontaneously Combustible, Dangerous When Wet)	173.124/125	CL 4
Class 5 (Oxidizer, Organic Peroxide)	173.127-129	CL 5
Class 6 (Poisonous Materials/Infectious Substances)	173.132-134	CL 6
Class 7 (Radioactive Materials)	173.403	CL 7
Class 8 (Corrosive Materials)	173.136/137	CL 8
Class 9 (Miscellaneous Hazardous Materials)	173.140/141	CL 9
Other Regulated Materials	173.144/145	ORM

EXERCISE 3: SUGGESTED TABBING OF THE HMR (Continued)

Subject	Reference	Suggested Tab
Exceptions		
Class 2 (Gases)	173.306/307	CL 2 EX
Class 3 (Flammable/Combustible Liquids)	173.150	CL 3 EX
Class 4 (Flammable Solid)	173.151	CL 4 EX
Class 5 (Oxidizer, Organic Peroxide)	173.152	CL 5 EX
Div. 6.1 (Poisonous Materials)	173.153	6.1 EX
Class 8 (Corrosive Materials)	173.154	CL 8 EX
Class 9 (Miscellaneous Hazardous Materials)	173.155	CL 9 EX
Other Regulated Materials	173.156	ORM-D EX
Carrier Requirements		
Rail	PART 174	RAIL
Air	PART 175	AIR
Water	PART 176	WTR
Highway	PART 177	HWY
Carrier		
Purpose and Scope	178.1	Scope
Applicability & Responsibility	178.2	App
Specs for Inner Receptacles	178.33	Inner
Specs for Cylinders	178.35	Cyl
Specs for Portable Tanks	178.245	PT
Specs for Cargo Tanks		
- MC201	178.318	201
- MC331	178.337	331
- MC338	178.338	338
- DOT406	178.346	406
- DOT407	178.347	407
- DOT412	178.348	412
Pkgs for Class 7	178.350	RAM
NB Performance oriented Pkgs.	178.500	POP
Tests - NB Pkgs.	178.600	NBTest
Intermediate Bulk Container Stds.	178.700	IBCs
Testing of IBCs	178.800	IBCTest

EXERCISE 4: FINDING A SPECIFIC SECTION

A. Locate the definition of a hazard class, for example: Class 1 - Explosives.

- Start by looking in §171.8
- § 171.8 refers you to § 173.50
- Use the reference numbers at the top of the HMR pages to locate, **“§ 173.50 Class 1 - definitions”**
- Read the definition

B. Locate a specific reference, such as “§ 172.201(a)(1)(iii)”

- Use the reference numbers at the top of the HMR pages to find the number closest to § 172.201.
- Follow the step-by-step process illustrated below:

§ 172.201

§ 172.201 General Entries

(a) *Contents.* When a description of hazardous material is required to be included on a shipping paper, that description must conform to the following requirements:

(1) When a hazardous material and a material not subject to the requirements of this subchapter are described on the same shipping paper, the hazardous material description entries required by §172.202 and those additional entries that may be required by §172.203:

- (i) Must be entered first, or
- (ii) Must be entered in a color that clearly contrasts with any description on the shipping paper of a material not subject to the requirements of this subchapter, except that a description on a reproduction of a shipping paper may be highlighted, rather than printed, in a contrasting color (the provisions of this paragraph apply only to the basic description required by § 172.202(a) (1) and (2), and (3), or

(iii) Must be identified by the entry of an “X” placed before the proper shipping name in a column captioned “HM.” (The “X” may be replaced by “RQ” if appropriate.)

(2) The required shipping description on a shipping paper and all copies thereof used for transportation purposes, must be legible and printed (manually or mechanically) in English.

1. Look at the top of the pages in 49 CFR for the **bold number (§ 172.201)**. The first three numbers indicate the Part (172).
2. The title of § 172.201 is **“General Entries.”**
3. **Paragraph** - “(a)” the first paragraph under General Entries pertains to contents of the shipping paper. § 172.201(a)
4. **Subparagraph** - There are four subparagraphs under paragraph (a). § 172.201(a)(1)-(4)
5. **Sub-subparagraph** - § 172.201(a)(1) has three sub-subparagraphs: (i)-(iii).
6. Find “§ 172.201(a)(1)(iii)” and complete this sentence: “Must be identified by the entry of an _____ placed before the proper shipping name . . .”
7. **“X”** is the correct answer.

EXERCISE 5: COMMUNICATING THE HAZARD

The proper shipping name, hazard class or division, packing group, markings, labels, and placards communicate the hazards of a material. To locate the proper shipping name and transportation requirements of a hazardous material, turn to the Hazardous Materials Table (HMT) in Part 172. The Hazardous Materials Table is the backbone of the regulations.

Directions: Use the **index** at the beginning of Part 172 to complete the exercise below. **Fill in the blanks.**

Subpart	Heading	Section
A	General	172.1-172.3
B	Hazardous Materials Table*	_____
B	_____	172.102
_____	Shipping papers	172.200-172.205
D	_____	172.300-172.338
E	Labeling	172.400-_____
F	Placarding	172.500-172.560
G	Emergency _____ Information	172.600-172.604

APPENDICES

Appendix A to 172.101- _____

Appendix B to 172.101- _____

Appendix C to PART 172 - _____

* includes Appendix A - Hazardous Substances
Appendix B - Marine Pollutants

FREQUENTLY USED REFERENCES

Part 106 Rulemaking Procedures

Part 107 Hazardous Materials Program Procedures

(Exemptions, Preemption, Designation of Approval and Certification Agencies, Enforcement, and Registration)

Part 171 General Information, Regulations, Definitions

- 171.8 Definitions and abbreviations
- 171.9 Rules of construction
- 171.12 Import and export shipments
- 171.15/16 Hazardous material incidents - notify/report

Part 172 Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements

- 172.1 Purpose and scope
- 172.101 Hazardous Materials Table
 - Appendix A - Hazardous Substances
 - Appendix B - Marine Pollutants
- 172.102 Special provisions
- 172.200 Shipping papers - Applicability
- 172.300 Marking - Applicability
- 172.400 General labeling requirements
- 172.500 Placarding - Applicability
- 172.602 Emergency response information
- 172.704 Training requirements

Part 173 General Requirements for Shipments and Packagings

- 173.1 Purpose and scope
- 173.2 Hazardous materials classes and index to definitions
- 173.21 Forbidden materials
- 173.22 Shipper's responsibility
- 173.24 General Requirements for Packagings and Packages
- 173.24a Additional requirements for non-bulk packagings and packages
- 173.24b Additional requirements for bulk packagings
- 173.25 Authorized packages and overpacks
- 173.27 General requirements for transportation by aircraft
- 173.28 Reuse, reconditioning, and remanufacture of packagings
- 173.29 Empty packagings
- 173.30 Loading and unloading of transport vehicles
- 173.301 General requirements for shipments of compressed gas in cylinders

SPECIFIC HAZARD CLASSES

References

- 173.50 Class 1 (Explosives)
- 173.115 Class 2, (Divisions 2.1, 2.2, & 2.3) (Gases)
- 173.120 Class 3 (Flammable Liquids)
- 173.124 Class 4, (Divisions 4.1, 4.2, and 4.3)
 - Division 4.1 (Flammable Solid)
 - Division 4.2 (Spontaneously Combustible Material)
 - Division 4.3 (Dangerous When Wet)
- 173.127 Class 5, Division 5.1 (Oxidizers)
- 173.128 Class 5, Division 5.2 (Organic Peroxide)
- 173.132 Class 6, Division 6.1 (Poisonous Materials)
- 173.134 Class 6, Division 6.2 (Infectious Substances)
- 173.403 Class 7 (Radioactive Materials)
- 173.136 Class 8 (Corrosive Materials)
- 173.140 Class 9 (Miscellaneous Hazardous Materials)
- 173.144 Other Regulated Materials (ORM)

Packing groups are designated in Column 5 of the § 172.101 Table and indicate the degree of danger presented by the material. The shipper is responsible for determining the appropriate packing group.

Packing Group I	PGI	Great Danger
Packing Group II	PGII	Medium Danger
Packing Group III	PGIII	Minor Danger

If more than one packing group is indicated for an entry, the packing group for the hazardous material must be determined using the criteria found in Subpart D of Part 173.

For example: Ketones, liquid, n.o.s. is listed in the HMT as a PGI, II, and III material. The shipper must determine the packing group for the material by applying the criteria in § 173.121, Class 3 - Assignment of packing group.

Packing Group	Flash Point	Initial Boiling Point
I		≤35 C (95° F)
II	<23° C (73° F)	>35 C (95° F)
III	≥23° C ≤60° C (141° F)	>35 C (95° F)

< = less than; ≤ = less than, or equal to; > = more than; ≥ = more than, or equal to

Note: Packing groups are not assigned to all classes of materials. Check Column 5 of the Hazardous Materials Table.

Hazardous Materials Table

STEP 2
SYMBOL

STEP 1
CLASSIFY

STEP 4
LABELING

STEP 3 DETER-
MINE PACK-
AGING

STEP 5
AIR/RAIL

STEP 6
WATER

§17.101 Hazardous Materials Table

Sym- bols	Hazardous materials descriptions and proper shipping names	Hazard class of division	Identifi- cation Numbers	PG	Label Codes	Special provisions	(8) Packaging (§173.***)			(9) Quantity limitations		(10) Vessel stowage	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	Excep- tions (8A)	Non- bulk (8B)	Bulk (8C)	Passenger aircraft/ rail (9A)	Cargo aircraft only (9B)	Location (10A)	Other (10B)
	Sodium peroxide.....	5.1	UN1504	I	5.1.....	A20, N34.....	None...	211...	None...	Forbidden	15 kg....	B	13, 75, 106
	Sodium peroxoborate, anhydrous	5.1	UN3247	II	5.1.....	152.....	212...	240.....	5 kg.....	25 kg....	A	13, 25, 106
	Sodium Persulfate.....	5.1	UN1505	III	5.1.....	A1.....	152....	213...	240.....	25 kg.....	100 kg..	A

STEP	TASK	HMT COLUMN	STEP	TASK	HMT COLUMN
1	Determine proper shipping name*	2	4	Determine labels	6
	Hazard class or division	3	5	Determine air/rail quantity limits	9A & 9B
	Identification number	4	6	Determine water requirements	10A & 10B
	Packing group (PG)	5	*Note: Check the Appendices. The material may also be a hazardous substance (Appendix A) or a marine pollutant (Appendix B).		
2	Determine symbol	1			
3	Determine Packaging	8			
	Exceptions	8A			
	Non-Bulk Packaging/PG	8B & 5			
	Bulk Packaging	8C			
	Determine if any special provisions for the material apply	7			

HAZARDOUS MATERIALS TABLE - SUMMARY

The process of complying (or determining compliance) with the HMR, **always** centers around the § 172.101 Hazardous Materials Table. **Review Subpart B, § 172.101(a)-(k).** A very brief description of this process follows:

Step One: Identify the material by:

- Proper Shipping Name (Col. 2)
- Hazard Class or Division (Col. 3)
- Identification Number (Col. 4)
- Packing Group, (if appropriate) (Col. 5)

REMEMBER, ALWAYS CHECK THE APPENDICES TO THE HMT (172.101)

Appendix A - The hazardous materials may also be a **hazardous substance**.

Appendix B - The hazardous material may also be a **marine pollutant**.

Step Two: Identify restrictions - For the material selected, do any air, water, domestic, or international restrictions (A, W, D, or I) apply? (Col. 1)

Step Three: Determine Packaging - For the material selected, determine the authorized packaging. (Col. 8A, 8B, 8C)

Check Special Provisions - For the material selected, determine if any Special Provisions apply. (Col. 7)

Step Four: Label the package(s) - For the material selected, determine the required hazard warning label(s). (Col. 6)

[Note: Marking (§ 172.300) and Placarding (§ 172.500), as required.]

Step Five: Any Air or Rail Limitations? - For transportation by air and/or rail determine packaging limits. (Col. 9A, 9B)

Step Six: Any Water Limitations? - For transportation by water, determine vessel shipment requirements. (Col. 10A, 10B)

Additional requirements

- 172.204 Shipper certification
- 172.301/302 Package Marking
- 172.504 Placarding
- 172.602/604 Emergency Response Information and Telephone Number

HAZARDOUS MATERIALS TABLE - SUMMARY (Continued)

Appendix A: Hazardous Substances

1. The hazardous material is also a hazardous substance when:
 - the material is listed in Appendix A (HMT, § 172.101),

and
 - the amount **in one package**, equals or exceeds the reportable quantity (RQ).

Note: Petroleum lubricants and fuel products are not considered hazardous substances.
2. Read the footnotes to Appendix A; the footnotes may affect the proper shipping name selection.

Appendix B: Marine Pollutants

1. The hazardous material is also a marine pollutant when:
 - the material is listed in Appendix B (HMT, § 172.101),

and
 - when in solution or mixture, the percentage by weight is:
 - 10% for material listed in Appendix B,
or
 - 1% for material identified as “severe marine pollutant” in Appendix B.
2. Requirements specific to marine pollutants:
 - always apply to transportation by vessel

and
 - do not apply to non-bulk shipments by rail, air, or highway.

CHECKLIST - Check the appropriate box.**A. Transportation Function**

	Yes	No
1. Do you offer and/or transport any hazardous material by rail, air, vessel, or highway?	<input type="checkbox"/>	<input type="checkbox"/>
2. Do you transport any flammable cryogenics or offer any hazardous waste, or any hazardous substances in a bulk container?	<input type="checkbox"/>	<input type="checkbox"/>
3. Do you manufacture containers for hazardous materials, prepare hazardous materials for transportation or transport hazardous materials?	<input type="checkbox"/>	<input type="checkbox"/>

B. Requirements of the Hazardous Materials Regulations (HMR)

	T	F
1. Industry standards have been used throughout the regulations. <i>Industry standards have been "incorporated by Reference," and have the force of law. Refer to § 171.7.</i>	<input type="checkbox"/>	<input type="checkbox"/>
2. Some words have different meanings when used in conjunction with the Hazardous Materials Regulations. <i>Look in the GLOSSARY on page 18. It contains acronyms, common abbreviations and hazardous materials terms. Also, you should become familiar with the definitions in § 171.8.</i>	<input type="checkbox"/>	<input type="checkbox"/>
3. Air shipments may be transported in accordance with International Civil Aviation Organization (ICAO) Technical Instructions. <i>Please read § 171.11.</i>	<input type="checkbox"/>	<input type="checkbox"/>
4. Import/export shipments require certification prior to acceptance for transportation. <i>Please read § 171.12.</i>	<input type="checkbox"/>	<input type="checkbox"/>
5. Shipments can move through the United States under Canadian regulations. <i>Please read § 171.12a</i>	<input type="checkbox"/>	<input type="checkbox"/>
6. When hazardous materials incidents/spills occur, reports (immediate and/or written) may be required. <i>Please read § 171.15 and § 171.16.</i>	<input type="checkbox"/>	<input type="checkbox"/>

CHECKLIST EXPLANATIONS

A. Questions 1-3 (Transportation Function)

If you answered **Yes** to questions 1, 2, or 3, the Hazardous Materials Regulations (HMR) apply to you.

1. You are required to train all of your hazmat employees. (Subpart H, § 172.700)
2. You may be required to register with and pay a fee to the USDOT. (Subpart G, § 107.601)
3. Public sector planning and training grants which deal with hazardous materials emergencies are discussed in Part 110.
4. You will benefit from the *Hazardous Materials Transportation Training Modules: Compliance and Enforcement*.

Recommendation: Read §§ 171.1 and 171.2 of the Hazardous Materials Regulations to determine how the Hazardous Materials Regulations apply to you.

B. Questions 1-6 [Requirements of the Hazardous Materials Regulations (HMR)]

If you answered **False** to questions 1, 2, 3, 4, 5, or 6, you definitely need to review the *Hazardous Material Transportation Training Modules: Compliance & Enforcement*. If you answered **True** to these questions, you may wish to use the modules as a refresher or to meet the “recurrent training” requirements in § 172.704.

For information about this modular training program, contact:

**U.S. Department of Transportation
Research and Special Programs Administration
Office of Hazardous Materials Initiatives and Training, (DHM-51)
Washington, DC 20590-0001**

**Internet E-Mail: Training@rspa.dot.gov
Fax: (202) 366-7342
Phone: (202) 366-2301
Internet Website: <http://hazmat.dot.gov>**

RESOURCES FOR TRAINING COURSES AND MATERIALS

THE OFFICE OF HAZARDOUS MATERIALS INITIATIVES AND TRAINING - The Office of Hazardous Materials Initiatives and Training (OHMIT) of the Research and Special Programs Administration is responsible for the development and distribution of hazardous materials information, reference publications, and training materials. These training materials are produced in a variety of formats (printed, electronic and audio-visual) and cover topics ranging from emergency response to compliance with and enforcement of the HMR in 49 CFR, Parts 100-185). For more information, contact:

**U.S. Department of Transportation
Research and Special Programs Administration
Office of Hazardous Materials Initiatives and Training, (DHM-51)
Washington, DC 20590-0001**

**Internet E-Mail: Training@rspa.dot.gov
Internet Website: <http://hazmat.dot.gov>
Fax: (202) 366-7342
Phone: (202) 366-2301**

TRANSPORTATION SAFETY INSTITUTE (TSI) - The Transportation Safety Institute provides training in hazardous materials transportation (Compliance & Enforcement, Awareness for Initial Response, Cargo Tanks, Intermodal, etc.). For information about the courses contact:

**Transportation Safety Institute
Hazardous Materials and Transportation Safety Division, DTI-30
715 South Metropolitan, Suite 700
Oklahoma City, OK 73108**

**Internet E-Mail: HAZMAT-TSI@mmacmail.jccbi.gov
Fax: (405) 231-4134
Phone: (405) 949-0036 Ext. 374**

Cooperative Hazardous Materials Enforcement Development (COHMED) - Participation is open to Federal, State, and local agencies and industry personnel involved in enforcement, emergency response or planning and preparedness. There is no membership fee. The primary goal of COHMED is to facilitate communication between organizations involved in hazardous materials transportation issues. There is a nominal fee for each conference. A quarterly newsletter and training (emergency response and compliance and enforcement) are available to **all** attendees. For more information, contact:

**U.S. Department of Transportation
Research and Special Programs Administration
Office of Hazardous Materials Initiatives and Training, (DHM-52)
Washington, DC 20590-0001**

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GLOSSARY

Acronyms and Common Abbreviations

AAR	Association of American Railroads
API	American Petroleum Institute
ASME	American Society of Mechanical Engineers
ATA	American Trucking Associations, Inc.
ATAA	Air Transport Association of America
B of E	Bureau of Explosives (AAR)
Btu	British Thermal Unit
C	Celsius or Centigrade
CAER	Community Awareness and Emergency Response Program (CMA)
CAS No.	Chemical Abstract Service number
CDG	Carriage of Dangerous Goods Subcommittee
CERCLA	Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (Superfund)
CERT	Council of Energy Resource Tribes
CFR	Code of Federal Regulations
CGA	Compressed Gas Association
CHEMTREC	Chemical Transportation Emergency Center (CMA)
CHLOREP	The Chlorine Emergency Plan
CHRIS	Chemical Hazards Response Information System (USDOT/USCG)
CMA	Chemical Manufacturers Association
COE	Committee of Experts on the Transportation of Dangerous Goods (UN)
COHMED	Cooperative Hazardous Materials Enforcement Development
CTDG	Canadian Transportation of Dangerous Goods
CVSA	Commercial Vehicle Safety Alliance
DEA	Drug Enforcement Administration (US)
DOC	Department of Commerce (US)
DOD	Department of Defense (US)
DOE	Department of Energy (US)
DOJ	Department of Justice (US)
DOT	Department of Transportation (US)
EPA	Environmental Protection Agency (US)
FAA	Federal Aviation Administration (USDOT)
FEMA	Federal Emergency Management Agency
FHSA	Federal Hazardous Substance Act
FHWA	Federal Highway Administration (USDOT)
FIFRA	Federal Insecticide, Fungicide, and Rodenticide Act
FMCSR	Federal Motor Carrier Safety Regulations (USDOT)

FRA	Federal Railroad Administration (USDOT)
HM	Hazardous Materials
HAZMAT	Hazardous Materials
HMIS	Hazardous Materials Information System (USDOT/RSPA)
HMR	Hazardous Materials Regulations
HMT	Hazardous Materials Table
HMTA	Hazardous Materials Transportation Act
HMTUSA	Hazardous Materials Transportation Uniform Safety Act
IAEA	International Atomic Energy Agency
IATA	International Air Transport Association
IATA/DGR	International Air Transport Association, Dangerous Goods Regulations
ICAO	International Civil Aviation Organization
ICAO/TI	International Civil Aviation Organization/Technical Instructions
IFFA	International Federation of Forwarding Agents
ID No.	Identification Number
IM	Intermodal Portable Tank
IME	Institute of Makers of Explosives
IMO	International Maritime Organization
IMO/IMDG	International Maritime Dangerous Goods Code
INTEREC	International Regulations Committee (HMAC)
LEPC	Local Emergency Planning Committee
Ltd. Qty	Limited Quantity
MAWP	Maximum Allowable Working Pressure
MCSAP	Motor Carrier Safety Assistance Program (USDOT/FHWA)
MOU	Memorandum of Understanding
MRHT	Marked Rated Holding Time
MSDS	Material Safety Data Sheet
NA	North America
NAERG	North American Emergency Response Guidebook
NASTTPO	National Association of SARA Title III Program Officers
NCITD	National Council on International Trade Documentation
NCCEM	National Coordination Council of Emergency Management
NCP	National Contingency Plan
NEMA	National Emergency Management Association
NESHAP	National Emissions Standards for Hazardous Air Pollutants
NFPA	National Fire Protection Association
NIOSH	National Institute of Occupational Safety and Health
NMFC	National Motor Freight Classification
NOAA	National Oceanic and Atmospheric Administration
N.O.I.	Not Otherwise Indexed
N.O.I.B.N.	Not Otherwise Indexed by Name
N.O.S.	Not Otherwise Specified
NPGA	National Propane Gas Association
NRC	National Response Center (USDOT/USCG)

NRC	Non-Reusable Container
NRC	Nuclear Regulatory Commission (US)
NRT	National Response Team
NSWMA	National Solid Waste Management Association
NTTC	National Tank Truck Carriers
NVOCC	Non-Vessel Operating Common Carrier
OHMIT	Office of Hazardous Materials Initiatives and Training (USDOT/RSPA)
OHMS	Office of Hazardous Materials Safety (USDOT/RSPA)
OIC	Officer in Charge
OPA	Oil Pollution Act (1990)
ORANGE BOOK	UN Recommendation on Transport of Dangerous Goods
ORM	Other Regulated Material
OSHA	Occupational Safety and Health Administration
OWTT	One Way Travel Time
PIH	Poison-Inhalation Hazard
PL	Public Law
POP	Performance-Oriented Packaging
P.s.i.	Pounds per square inch
P.s.i.a.	Pounds per square inch absolute
P.s.i.g.	Pounds per square inch gauge
QT	Quenched and Tempered
RAM	Radioactive Materials
RCRA	Resource Conservation and Recovery Act (1980)
RL	Regulated Limit (Canadian equivalent to RQ)
RQ	Reportable Quantity
RSPA	Research and Special Programs Administration
SARA	Superfund Amendments and Reauthorization Act of 1986
SERC	State Emergency Response Commission
SCF	Standard Cubic Foot
STC	Single Trip Container
TDG	Transportation of Dangerous Goods (Canada)
TI	Transport Index
Title III	“Emergency Planning and Community Right-to-Know” section of SARA
TOFC	Trailer-On-Flatcar
TSCA	Toxic Substance and Control Act
TSI	Transportation Safety Institute (USDOT/RSPA)
TTMA	Truck Trailer Manufacturers Association
UN	United Nations
UFC	Uniform Freight Classification
UHWM	Uniform Hazardous Waste Manifest
USCG	United States Coast Guard
VNTSC	Volpe National Transportation Systems Center (USDOT/RSPA)

Hazardous Materials Transportation Terms

NOTE: See 49 CFR § 171.8 for in-depth definitions

Bulk Packaging	<p>A packaging (transport vehicle or freight container) in which hazardous materials are loaded with no intermediate form of containment, when the internal volume is greater than:</p> <ul style="list-style-type: none">(1) 450 liters (119 gallons) for a liquid;(2) 400 kilograms (882 pounds) for a solid; or(3) 1,001 pounds (454 kilograms) for a gas. <p>Note: A bulk packaging is not a vessel or barge.</p>
Cargo	Product, including its packaging.
Cargo Tank	A bulk packaging that is loaded or unloaded without being removed from the motor vehicle. (The tank may or may not be permanently attached to the motor vehicle).
Chart 11	DOT's Hazardous Materials Marking, Labeling and Placarding Guide.
Compatibility	<p>Relates to possible interactions between a material and</p> <ul style="list-style-type: none">(1) its container, or(2) other products that may be loaded or transported together.
Compressed Gas	Material or mixture meeting criteria in § 173.115(b), (absolute pressure of 280 kPa [41 psia]) at 20° C [68° F] or greater).
Compound	Two or more ingredients that are chemically united.
Consist	Sequentially lists the location of each rail car in a train. May serve as the shipping paper if the consist has all the information required by the USDOT.
Dangerous Goods	International term for hazardous materials.
Documentation	Completed forms required to accompany hazardous materials. For example, shipping papers, certificates, emergency response information, or manifests.

Bulk Packaging	A packaging (transport vehicle or freight container) in which
Emergency Response Information	Information that can be used in the mitigation of an incident involving hazardous materials.
Etiologic Agent	See Infectious Substance.
Exceptions	Relief from certain regulations; applies to everyone.
Exemptions	Specific USDOT-written relief from certain Hazardous Materials Regulations, for shippers, carriers, or manufacturers. (Part 107, Subpart B of 49 CFR)
Flash Point	The minimum temperature at which a substance gives off flammable vapor(s). Substance will ignite when coming in contact with a spark or flame.
Forbidden	<p>A material that is prohibited from being offered or accepted for transportation. This prohibition does not apply if these materials are:</p> <ul style="list-style-type: none">• diluted, stabilized, or incorporated into devicesand• classed in accordance with Part 173. (See § 172.101(d)(1)).
Generator	An EPA term or word used for manufacturer and/or shipper.
Grants	Planning and training grants to deal with hazardous materials emergencies.
Gross Weight	Total weight of packaging, including its contents.
Hazard Class	A group of hazardous materials that share dangerous characteristics. The USDOT has identified nine hazard classes based on the dangers posed in transportation.
Hazard Division	A means of sub-dividing similar hazardous materials which require different hazard communications.
Hazardous Material	A substance or material capable of posing an unreasonable risk to health, safety, or property — when transported in commerce.

Hazardous Substance	<p>A material listed in Appendix A to § 172.101 and the quantity in one package equals or exceeds the reportable quantity (RQ). Material may be in solution or mixture. This definition <u>does not</u> apply to petroleum (lubricants or fuel) products.</p> <p><i>Note: For radionuclides, refer to Table 2 of Appendix A to the HMT.</i></p>
Hazardous Waste	<p>Any material that is subject to the Hazardous Waste Manifest requirements of the EPA. Refer 40 CFR, Part 262.</p>
Hazardous Waste Manifest	<p>A specific shipping document required by the USDOT and the EPA for hazardous waste shipments. Also referred to as the Uniform Hazardous Waste Manifest (UHW).</p> <p>If all USDOT requirements [i.e., the basic description (proper shipping name, hazard class/division, ID No., and packing group) are entered on the UHW, the manifest may be used as a shipping paper. (49 CFR, § 172.205)</p>
Identification Number (ID No.)	<p>The UN or NA “four-digit number” assigned to hazardous materials, i.e., UN 2202. Identification numbers are listed in § 172.101 (the Hazardous Materials Table). Used for identification and emergency response.</p>
In-association-with	<p>Refers to the placement of required additional entries on the shipping paper. Usually placed after the complete description for a hazardous material. May be any format, as long as it is clearly part of the entry.</p>
Incident	<p>Unintentional release of hazardous material(s).</p>
Infectious Substance	<p>Living microorganism or its toxin which may cause severe, disabling or fatal disease. Term synonymous with Etiologic Agent. (49 CFR, § 173.134)</p>
Irritating Material	<p>A liquid or solid substance. Upon contact with fire or air, the material gives off dangerous or intensely irritating fumes. Irritating Material does not include any poisonous material.</p>
Labels	<p>Hazard class identifiers required on hazardous materials packaging; 4-inch diamond shaped (square-on-point); identify hazard class by symbol, color and sometimes, by name.</p>

Limited Quantity (Ltd. Qty.)	The amount of material for which there is a specific labeling or packaging exception.
Marine Pollutant	Hazardous material which is: <ul style="list-style-type: none">• listed in Appendix B to § 172.101 and,• when in a solution or mixture of one or more marine pollutants, is packaged in a concentration (<i>for materials listed in Appendix B</i>) which equals or exceeds:<ul style="list-style-type: none">(1) 10% by weight of the solution or mixture, or(2) 1% by weight of the solution or mixture <i>for materials that are identified as severe marine pollutants</i>.
Markings	Information required to be placed on the outside of the shipping container; includes one or more of the following: <ul style="list-style-type: none">• proper shipping name;• identification number;• UN standard packaging marks;• instructions/caution.
Mitigate	<i>To make less severe.</i> Measures to prevent, or lessen the results of a release of hazardous materials.
Mixture	A material composed of one or more compounds.
Motor Vehicle Common Carrier	A motor carrier that transports property for hire.
Motor Vehicle Contract Carrier	A motor carrier that transports only property for those shippers with whom they have a contractual agreement.
Motor Vehicle Private Carrier	A motor carrier that transports property of which it is owner, lessee, or bailee. Such transportation is for the purpose of sale, lease, or rent.
Multiple Hazards	A material meeting the definition of more than one hazard class/division. The material must be classed according to its position on the Precedence of Hazard Table in 49 CFR, § 173.2a.
Net Weight	A measure of weight referring only to the contents of a package. It does not include the weight of any packaging material.

Non-Bulk Packaging	A packaging which has an internal volume equal to or less than: (1) 450 liters (119 gallons) for a liquid; or (2) 400 kilograms (882 pounds) for a solid; or (3) 454 kilograms (1,001 pounds) for a gas.
Overpack	An enclosure that is used by a single consignor. An overpack provides protection or convenience in the handling of a package. It may consolidate two or more packages.
Package	Packaging plus its contents.
Packaging	A receptacle and any other components or materials. The packaging must perform its containment function in conformance with the HMR.
Packaging Exception	Provides general relief from certain specification packaging requirements of the HMR.
Packaging Exemption	Specific written administrative relief granted by RSPA from certain requirements of the HMR. Packaging must provide equivalent levels of safety.
Packing Group	Assigned according to the degree of danger presented by the hazardous material: PG I Great Danger PG II Medium Danger PG III MinorDanger
Performance-Oriented Packaging	Receptacles and any other components or materials necessary for the packaging to perform its containment function. Specific testing procedures must be performed and so marked on the packaging.
Person	Any of the following: <ul style="list-style-type: none">• Individual• Co-Partner• Company• Joint-stock association (including any trustee, receiver, assignee, or similar representative thereof), or• Government or Indian tribe, (agency or instrumentality of any government or Indian tribe);• Firm• Corporation• Association when hazardous materials are transported or offered for transportation in commerce.

Placard	Hazard class identifiers required on transport vehicles or freight containers; placards are 10 3/4" diamond shaped (square-on-point) and hazard class color-coded. May require numbers for identification and emergency response. (Part 172, Subpart F)
Placarding	Process of determining and applying correct placards. (Part 172, Subpart F)
Portable Tank	A bulk packaging designed to be loaded on and temporarily attached to a transport vehicle or vessel.
Preemption	State or local requirements that conflict with the Federal hazardous materials transportation law may be set aside by the Federal Government. (See Subpart C - Preemption, § 107.201.)
Primary Label	Label for primary hazard of the material. Class number in lower part of label is required. (49 CFR, § 172.402)
Proper Shipping Name	Name listed in Roman type in the HMT, § 172.101. <i>Italicized</i> names are not proper shipping names.
Radioactive Materials	Materials having a specific activity of greater than 0.002 microcuries per gram. (49 CFR, § 173.403)
Registration	A person who transports or offers for transportation certain hazardous materials is required to register and pay a fee to the USDOT. (See § 107.601.)
Registration for Cargo Tanks	Procedure for persons who manufacture, assemble, inspect, test, certify or repair a cargo tank or cargo tank motor vehicle. (See § 107.501.)
Reportable Quantity (RQ)	RQ means the quantity specified in Column 2 of Appendix A to § 172.101 for any material identified in Column 1 of the appendix.
Residue	The hazardous materials remaining in a packaging, tank car, etc. after unloading.
Shipping Papers	Manifest, bill of lading, shipping order, or document to accompany hazardous materials shipments. Must contain information required by USDOT. (See Part 172, Subpart C.)
Solution	Homogenous liquid mixture of two (2) or more chemical compounds. The mixture will not separate during transportation.

Specification Packaging	Packaging specifically designed for a particular class or classes of hazardous material. Packaging identified by UN standard packaging and/or USDOT specification number.
State Variations	Listed in ICAO; governmental options differing from the ICAO Technical Instructions.
Strong Outside Container	Outermost enclosure for protecting inner packages and preventing unintentional release of contents during transportation.
Subsidiary Labels	Identify the subsidiary or secondary hazard. Class number must not be shown on the label. (See § 172.402.)
Technical Name	A recognized chemical name currently used in scientific and technical handbooks, journals and texts. Trade names may not be used as technical names, unless they are in the HMT.
Transport Index	The dimensionless number on the label of a radioactive materials package. Designates the degree of control necessary during transportation.
Unit Load Device	Any type of freight container, aircraft container, aircraft pallet with a net, or aircraft pallet with a net over an igloo.

Notes
